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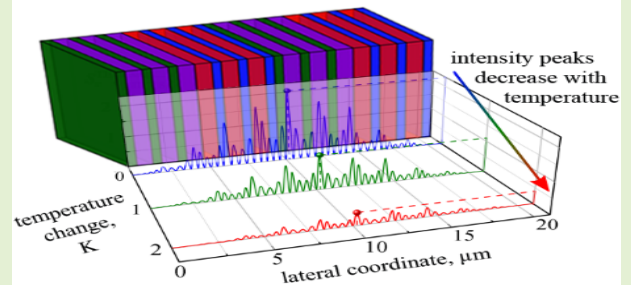
Multiperiodic Photonic Crystals for Ultrasensitive Temperature Monitoring and Polarization Switching

Ivan S. Panyaev¹, Dmitry G. Sannikov, Yuliya S. Dadoenkova, and Nataliya N. Dadoenkova

Abstract—We study the influence of thermal expansion and thermo-optic effect on optical properties of finite 1-D three-periodic photonic crystals (PCs) of structure $[(ab)^N(cd)^M]^K$ composed of four different nonmagnetic dielectric materials a , b , c , and d . We calculate temperature dependencies and incidence angle dependencies of the transmittivity of TE- and TM-polarized electromagnetic waves, as well as the distribution of energy within these structures. The optimal adjustment of PC bandgap centers for obtaining the desired transmission characteristics of the temperature-governed photonic bandgap structures is found, and the peculiarities of the energy distributions inside the photonic system are investigated. We propose a sensitive thermal polarization TE/TM switch as well as angular and temperature sensors working at the intraband-mode frequencies exploiting temperature effects.

Index Terms—Photonic bandgap, photonic crystal (PC), temperature sensing.

1D three-periodic photonic crystal
 $[(ab)^N(cd)^M]^K$
as a basis of an ultra-sensitive
temperature monitoring system



I. INTRODUCTION

PHOTONIC crystals (PCs) are optical structures with periodic modulation of the refractive index (or dielectric function). The optical transmittivity and reflectivity of PCs have a photonic band structure consisting of alternating passbands and forbidden bands similar to the electronic band structure of periodic potentials [1], [2], [3], [4]. Using various methods, it is possible to shape a given photonic band structure, thereby effectively controlling the fundamental optical properties, such as reflectivity, group velocity, the rate of spontaneous emission,

and so on. Thus, many optic effects can be realized in PCs. For instance, by introducing irregularities or defect layers into regular PCs, one can create defect modes (or inside-bandgap modes). These modes are usually characterized by high and narrow transmission peaks within the bandgaps, whereas the electric field of the light wave is strongly localized inside the defect layer, which in turn leads to many promising applications [5], [6]. Indeed, the range of applications of 1-D PCs in photonics and optoelectronic devices today is extremely wide and includes filters, solar cells, fluorescent amplifying devices, sensors, 3-D matrices, color displays, and so on [4], [7], [8], [9].

Recently, attention has been paid to the creation of active photonic devices based on 1-D PCs with thermally tuned spectra. For example, the thermal sensitivity of biosensors implemented on amorphous $\text{Si}_3\text{N}_4/\text{Si}$ 1-D PC is investigated in [10]. A ternary PC is proposed as a nanochemical sensor to detect water concentration in ethanol [11]. Temperature-controlled 1-D PCs based on mesoporous TiO_2 and SiO_2 layers can serve both as optical filters integrated with organic and inorganic light-emitting diodes (OLED and LED) and as low-cost infrared (IR) sensors with low power consumption and manufacturing costs [12]. Multicomponent 1-D structures [13] can be used in thermophotovoltaic applications. Temperature dependences of the transmission spectra of hybrid multifunctional superconducting $\text{YBa}_2\text{Cu}_3\text{O}_7$ PCs were studied

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in [14]. Using the influence of the thermo-optical and thermal expansion effects in the polymer, a temperature sensor was designed on the base of a ternary PC [15], which operates by measuring the redshift of the transmission peak with an increase in the temperature. Analysis of temperature sensors based on ternary 1-D PCs with double defects has been carried out in [16]. Wide-range temperature sensors based on 1-D PCs with a single defect have been also proposed [17], [18].

Such PC structures with a large number of periods (several tens of bilayers) have been successfully fabricated using various methods (e.g., sol-gel, RF-sputtering, etc.) in recent decades [19], [20], [21], [22].

In this article, we provide a theoretical study of three-periodic 1-D PCs consisting of four dielectric oxides with different refractive indices. We continue the study begun in [19], [20], and [21], where 1-D three-period PCs with different layer orders are classified according to the magnitude and sign of the optical contrast in the pairs of layers forming the unit cells. The novelty of the type of structures studied here lies in the uniqueness of the layer combination (with a different optical contrast compared to the previously considered structures) which results in a specific behavior of the spectra and flux distribution in the PC. Moreover, in this article, we take into account the influence of temperature effects on the optical and energy characteristics of such structures. We discuss the possibility of temperature control of the transmission spectra of this type of PC structure using the thermo-optical effect and thermal linear expansion. We also propose a principle of precise polarization-sensitive filters and sensors used in nanophotonics and optoelectronics.

II. GEOMETRY OF 1-D THREE-PERIODIC PHOTONIC CRYSTALS

Let us consider finite 1-D three-periodic PCs whose layers consist of four different dielectrics a , b , c , and d with thicknesses l_a , l_b , l_c , and l_d , respectively. The unit cell of the PC is a combination of two subcells formed by repeating parts of different materials, for example, (ab) and (cd) , as shown in Fig. 1. Both the subcells are the finite PCs $(ab)^N$ and $(cd)^M$ with corresponding period lengths $l_1 = l_a + l_b$ and $l_2 = l_c + l_d$. Thus, the structural formula of the PC is $[(ab)^N(cd)^M]^K$, where N and M are the subperiod numbers, and K is the superperiod number. The total length of the PC is $L = K \cdot l_{\text{sup}}$, where $l_{\text{sup}} = N \cdot l_1 + M \cdot l_2$ is the superperiod length. The structure is surrounded by air.

An electromagnetic wave of the wavelength λ in vacuum (the angular frequency ω) is incident on the left-hand side surface of the PC under the angle θ , so that xz is the incidence plane (see Fig. 1).

We choose the layer thicknesses in both subcells to satisfy the Bragg conditions for different Bragg wavelengths λ_{01} and λ_{02} in vacuum

$$l_{a,b} = \frac{\lambda_{01}}{4n_{a,b}(\lambda_{01})}, \quad l_{c,d} = \frac{\lambda_{02}}{4n_{c,d}(\lambda_{02})} \quad (1)$$

where λ_{01} and λ_{02} correspond to the bandgap centers of the subcells $(ab)^N$ and $(cd)^M$, respectively, constituting the three-periodic PC. Thus, by choosing the values $l_{a,b}$ and $l_{c,d}$ in

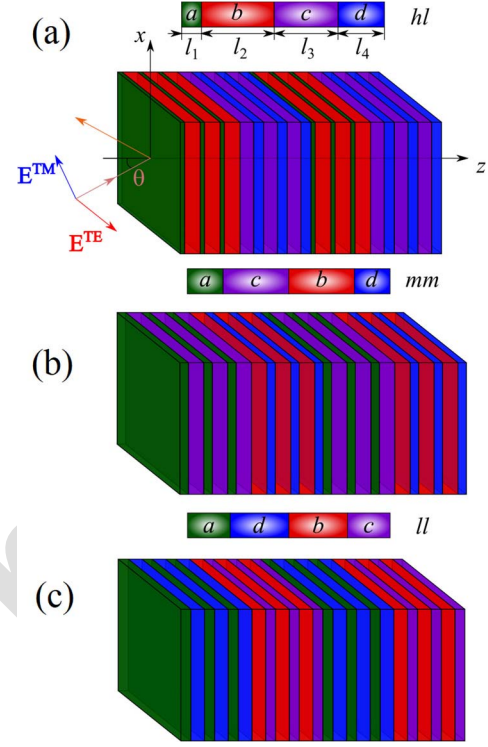


Fig. 1. Schematic of 1-D three-periodic PCs belonging to the groups: (a) hl (structure $[(ab)^N(cd)^M]^K$), (b) mm (structure $[(ac)^N(bd)^M]^K$), and (c) ll (structure $[(ad)^N(bc)^M]^K$), for $N = M = 3$ and $K = 2$. Here, E^{TE} and E^{TM} denote electric fields of TE- and TM-polarized electromagnetic waves, and θ is the incidence angle.

correspondence with (1), finely one can modify the position and structure of the bandgap of the whole three-periodic system. As an example, for the constituting materials of the PC, we choose the well-known dielectric oxides TiO_2 , SiO_2 , Al_2O_3 , and ZrO_2 (further for simplicity denoted as T, S, A, and Z). All these materials are optically isotropic and the normal modes of the considered PC are the electromagnetic waves of TE- and TM-polarizations. The chosen oxides are transparent in the wavelength range $(1-5) \mu\text{m}$, and the frequency dispersion of their dielectric permittivities are well described by Sellmeier equations [22], [23], [24], [25].

The three-periodic four-component PCs can be classified by the absolute values and signs of the dielectric contrasts of materials in the subcells which are defined as dielectric permittivity differences $\Delta\epsilon_{ab} = \epsilon_a - \epsilon_b$ and $\Delta\epsilon_{cd} = \epsilon_c - \epsilon_d$ (see details in [23]). According to the values of dielectric contrasts, the subcells can be divided into three types: high-contrast subcells with $|\Delta\epsilon| > 3.5$, medium-contrast subcells with $2 < |\Delta\epsilon| < 3.5$, and low-contrast subcells with $|\Delta\epsilon| < 2$, which are denoted as h^\pm , m^\pm , and l^\pm (“high,” “medium,” and “low”), respectively. Here, the upper symbols “ \pm ” are introduced to specify the sign of $\Delta\epsilon_{ab}$. Thus, the three-periodic PC structures formed by two pairs of different materials can be divided into three groups (hl , mm , and ll), where the first and the second characters specify the corresponding pairs, respectively. Each group contains four subgroups, for example, h^+l^+ , h^-l^+ , h^+l^- , and h^-l^- for the group hl , and so on [23]. The transmittivity spectra of the PCs of the same group

demonstrate similarities, and thus the order of the materials forming the subcells allows adjusting of the structure in order to have bandgaps with a predefined structure.

In addition to topological parameters (Bragg wavelengths defying the thicknesses of the layers) and optical parameters (optical contrast between the pairs of layers forming the PC periods), the spectra of PCs can also be affected by external factors—electric and/or magnetic fields, pressure, temperature, and so on. In particular, the temperature can have a noticeable effect on the bandgap configuration and the arrangement of defect modes. Moreover, in the applied aspect, considering the temperature response of the PCs is very important in photonics and quantum electronics, for instance, when creating mirrors for heterostructure laser cavities [20], [21], [26], [27].

In this article, we assume that the local temperature in all the layers of the PC is the same and is equal to that of the air surrounding it. Temperature manifests itself through two phenomena: the thermo-optic effect, which affects their refractive index, and the thermal expansion of the layer thicknesses, which modifies the geometry of the system. In what follows, both effects are considered for all the constituents of the system.

Due to the thermo-optic effect, the refractive index of all the constituents of the system undergoes a noticeable variation upon temperature variation. For the considered oxides, this variation is linear with T and is characterized by a constant thermo-optic coefficient γ_j , with

$$n_j(\Delta t) = n_{0j} + \gamma_j \Delta t, \quad (j = a, b, c, d) \quad (2)$$

where n_{0j} is the refractive index of the medium j at a reference temperature t_0 , which in this study we take as a room temperature (around 293 K).

The values of the thermo-optical coefficients γ_j of the considered oxides vary around $\pm 10^{-3}$ 1/K [28], [29], [30], [31] and generally depend on many factors, such as the composition and purity of the material (or presence of impurities), film thickness, temperature range, wavelength and polarization of light, and so on. Thus, without loss of generality, we take all the coefficients equal to an average value $\gamma = 10^{-4}$ 1/K.

The variation of the thickness l_j ($j = a, b, c, d$) of the film of material j upon temperature t change is determined by the linear thermal expansion coefficient η_j of that material

$$l_j = l_{0j} (1 + \eta_j \Delta t) \quad (3)$$

where l_{0j} is a nominal thickness at temperature t_0 for each layer of the system, and $\Delta t = t - t_0$.

Strictly speaking, the linear expansion of films in the transverse direction can lead to deformation or destruction of the structure; therefore, in our calculations, we limited ourselves to an increase in temperature by $\Delta t = 100$ K. Since the lateral dimensions of the layers are much larger than their thickness, we neglect the effect of thermal expansion in the x - and y -directions. The values of the coefficients of linear thermal expansion are given in Table I. One can estimate that the maximum expansion for the ZrO₂ film, which has the highest coefficient of linear expansion among the materials under study, with a thickness of 100 nm, does not exceed 0.1 nm.

TABLE I
LINEAR EXPANSION COEFFICIENTS OF THE PC CONSTITUENTS

Material	Linear thermal expansion coefficient, in 1/K [37]	Maximum expansion of a 100 nm thick film with a temperature change of 100 K, in nm
SiO ₂	$2 \cdot 10^{-6}$	0.02
Al ₂ O ₃	$8.8 \cdot 10^{-6}$	0.09
TiO ₂	$7.4 \cdot 10^{-6}$	0.07
ZrO ₂	10^{-5}	0.1

We calculate the transmittivity spectra of the electromagnetic waves of near IR range propagating through the 1-D three-periodic PCs using the standard transfer matrix method (for details, see [36]). The material parameters (refractive indices, thermo-optic coefficients, and thermal expansion coefficients) for all the PC constituents were taken in [28], [29], [30], [31], and [33].

III. NUMERICAL ANALYSIS AND DISCUSSION

A. Transmittivity Spectra at Room Temperature

First, we analyze the optical characteristics of tree-periodic PCs at room temperature t_0 and at normal incidence of light ($\theta = 0$, so that the TE- and TM-polarized modes are degenerate).

Fig. 2(a)–2(l) shows the transmittivity of the PCs from 12 above-mentioned subgroups of the three-component structures $[(ab)^N(cd)^M]^K$ as functions of the normalized vacuum Bragg wavelengths $\lambda_{01,02}/\lambda$, with the wavelength of the incident electromagnetic wave $\lambda = 1.55 \mu\text{m}$. We consider the case of $M = N = K = 5$, since these numbers of the cells are sufficient to ensure abrupt boundaries of the bandgaps and pronounced and well-distinguishable transmission bands (see our papers [19], [20]). The dielectric permittivities of the materials are chosen to be corresponding to the room temperature t_0 [23], [24], [31], [34]. The light and dark areas in Fig. 2 correspond to the transmission bands and the photonic bandgaps, respectively.

From Fig. 2, it follows that the bandgap width is mainly defined by the value of the optical contrast of the subcells forming the three-periodic PC. For instance, for the structures of the group (**hl**) containing the high-contrast and low-contrast subcells, one can see that the bandgaps are broader along the λ_{01}/λ axis, corresponding to the center of the bandgap of the high-contrast subcell (**h**) [see Fig. 2(a), (d), (g), and (j)]. The bandgap along the λ_{02}/λ axis, ensured by low-contrast subcell (**l**) is less pronounced. In comparison to these spectra, for the structures consisting of two low-contrast subcells (**ll**), the bandgaps are narrower and not well pronounced along both λ_{01}/λ and λ_{02}/λ axes [see Fig. 2(b), (e), (h), and (k)]. Finally, the structures consisting of medium optical contrast subcells (**mm**) [Fig. 2(c), (f), (i), and (l)] possess quite broad bandgaps which are essentially broader than those in the case of (**ll**) groups, but still slightly narrower than those of (**hl**) groups.

However, one can notice some similarities in the spectra of all these structures. In particular, let us consider the central point of each spectrum, where the bandgap centers of both

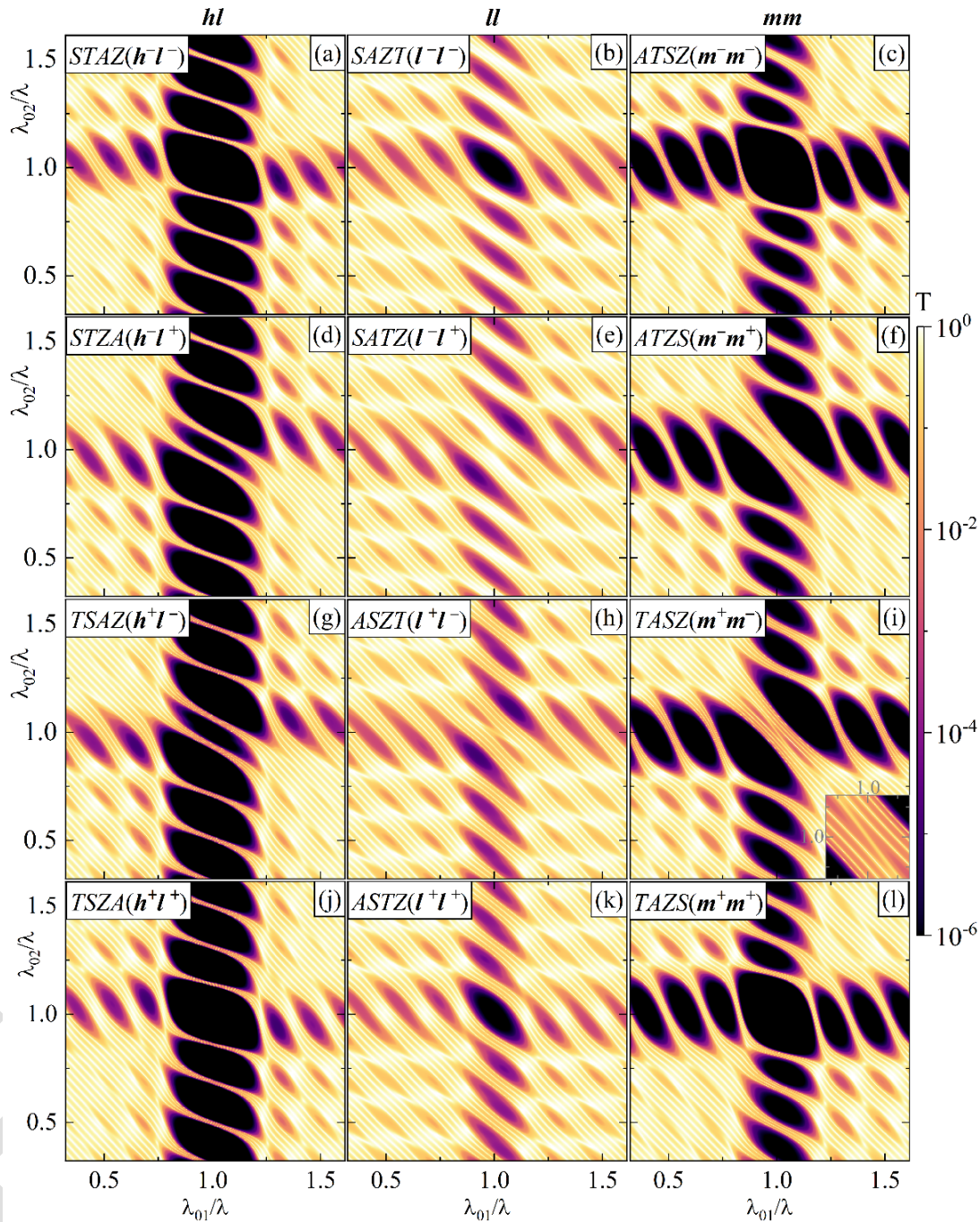


Fig. 2. Transmittivity spectra $\tau^{\text{TE}} = \tau^{\text{TM}}$ (in logarithmic color scale) of three-periodic PCs $[(ab)^5(cd)^5]^5$ for all 12 subgroups (a)–(l) as functions of the reduced vacuum Bragg wavelengths λ_{01}/λ and λ_{02}/λ of the subcells $(ab)^5$ and $(cd)^5$, respectively. Calculations are carried out for the working wavelength $\lambda = 1.55 \mu\text{m}$, for normal incidence ($\theta = 0$), and at room temperature $t_0 = 293 \text{ K}$.

240 subcells $(ab)^N$ and $(cd)^M$ coincide, being equal to the working
 241 wavelength (i.e., $\lambda_{01} = \lambda_{02} = \lambda 1.55 \mu\text{m}$, or $\lambda_{01,02}/\lambda = 1$).
 242 This point corresponds to the bandgap centers for all the
 243 considered three-periodic structures from the subgroups with
 244 pairs of layers having identical signs of the optical contrast
 245 ($h^\pm l^\pm$, $l^\pm l^\pm$, and $m^\pm m^\pm$). On the contrary, for the PCs
 246 from the subgroups with different signs of optical contrast
 247 in the pairs of layers ($h^\pm l^\mp$, $l^\pm l^\mp$, and $m^\pm m^\mp$), the bandgaps
 248 are either significantly shrank [as for the structures from the
 249 subgroups $h^- l^+$ and $h^+ l^-$ in Fig. 2(d) and (g)], or they
 250 disappear completely giving the space to the transmission

bands of the defect modes [structures of the subgroups $l^- l^+$
 and $l^+ l^-$ and $m^- m^+$ and $m^+ m^-$ in Fig. 2(e) and (h) and
 Fig. 2(f) and (i), respectively].

For further analysis, we choose a three-periodic
 PC of the subgroup $m^+ m^-$, which demonstrates
 a relatively broad bandgap with the intraband
 transmission modes, such as the structure $[(TA)^5(SZ)^5]^5$
 [see Fig. 2(i)].

According to (1), in the considered range of λ_{01} and λ_{02} ,
 the thicknesses of the layers vary between several tens and
 several hundred nanometers.

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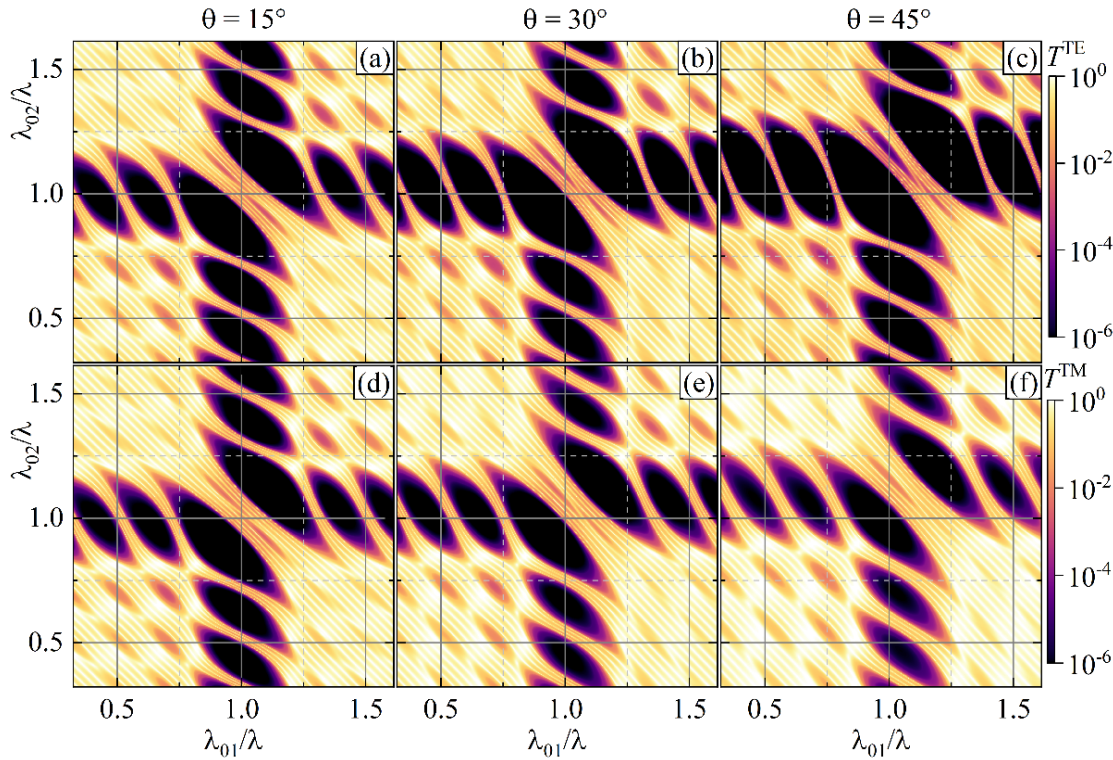


Fig. 3. Transmittivity spectra of the structure $[(TA)^5(SZ)^5]^5$ as functions of the reduced vacuum Bragg wavelengths λ_{01}/λ and λ_{02}/λ of the subcells $(TA)^5$ and $(SZ)^5$, respectively, for different incidence angles $\theta = 15^\circ$, 30° , and 45° . Calculations are carried out for $\lambda = 1.55 \mu\text{m}$ and $t_0 = 293 \text{ K}$. (a)–(c) Top panels and (d)–(f) the bottom panels correspond to TE- and TM-modes, respectively.

One of the parameters for controlling the spectra of photonic structures is the incidence angle. At oblique incidence, the degeneracy of TE- and TM-modes is canceled, and the spectra of these modes behave differently [23]. In Fig. 3, we show the dependencies of the transmittivity on the reduced vacuum Bragg wavelengths λ_{01}/λ and λ_{02}/λ of the first and second subcells of the structure $[(TA)^5(SZ)^5]^5$ for different incidence angles. From Fig. 3, one can see that with an increase in the incidence angle, the bandgaps shift diagonally relatively to the central point $\lambda_{01}/\lambda = \lambda_{02}/\lambda = 1$ toward larger values of λ_{01}/λ and λ_{02}/λ both for TE- and TM-polarized modes. However, the bandgaps of the TE-polarized mode become broader and their edges become sharper with an increase of θ , whereas those of the TM-polarized mode demonstrate narrowing and blurring of the edges.

The key point in the change in the transmission spectra of this structure with an increase in the angle of incidence of light is the alternation of the passbands and the bandgaps for both polarizations (TE and TM) at the point $\lambda_{01}/\lambda = \lambda_{02}/\lambda = 1$. Under normal incidence, this point is located within the transmission band [see Fig. 2(i)]. Then, as θ increases, passbands and bandgaps begin to alternate through it. Indeed, at $\theta = 15^\circ$, this point is still in the passband, whereas at $\theta = 30^\circ$, it already corresponds to the bandgap edge [see Fig. 3(b) and (e)], and at $\theta = 45^\circ$, it is inside the bandgap [see Fig. 3(c) and (f)]. The practical value of the resulting pattern lies in the possibility of flexible control of the transmission in the bandgap region by varying the angle of incidence (i.e., photonic bandgap switching).

Furthermore, we investigate the influence of temperature variations on the transmittivity spectra in the vicinity of the inside-bandgap modes.

B. Temperature Tuning of the Transmittivity Spectra

Let us consider in detail the transmittivity of the structure $[(TA)^5(SZ)^5]^5$ at the wavelength interval around the central passband inside the bandgap. Fig. 4 shows how the transmittivity spectra at normal incidence $T^{\text{TE}}(\omega, \Delta t) = T^{\text{TM}}(\omega, \Delta t)$ and for the fixed Bragg wavelengths $\lambda_{01} = \lambda_{02} = 1.55 \mu\text{m}$ change with temperature variation $\Delta t = t - t_0 > 0$. It can be interpreted as a temperature-dependent drift of the cross section of Fig. 2(i) along the line $\lambda_{01}/\lambda = \lambda_{02}/\lambda$. As can be seen from Fig. 4(a), the central transmission peak ($\lambda \approx 1.55 \mu\text{m}$) does not reach unity, but takes a value of about 0.45. The full-width at half-maximum (FWHM) of that peak is 3.6 nm (or 2.8 THz-rad). Four high-transmittivity peaks are present on either side of that central one, and they narrow with distance from the central peak. Thus, the number of peaks on either side of the central one is $(K - 1)$. It should be noted that in bi- and three-periodic PCs, the number of the subpeaks of the inside-bandgap modes is also equal to $(K - 1)$ [32], [35], which is related to the overlapping of the electromagnetic waves localized in the “defect” layers of the structure. In the PC considered here, two inside-bandgap modes merge, and the total number of the peaks in the considered central passband is $(2K - 1)$. The FWHM of the peaks adjacent to the central one is about 2.4 nm (1.8 THz-rad), whereas the FWHM of the outermost peaks is less than 0.34 nm (250 GHz-rad).

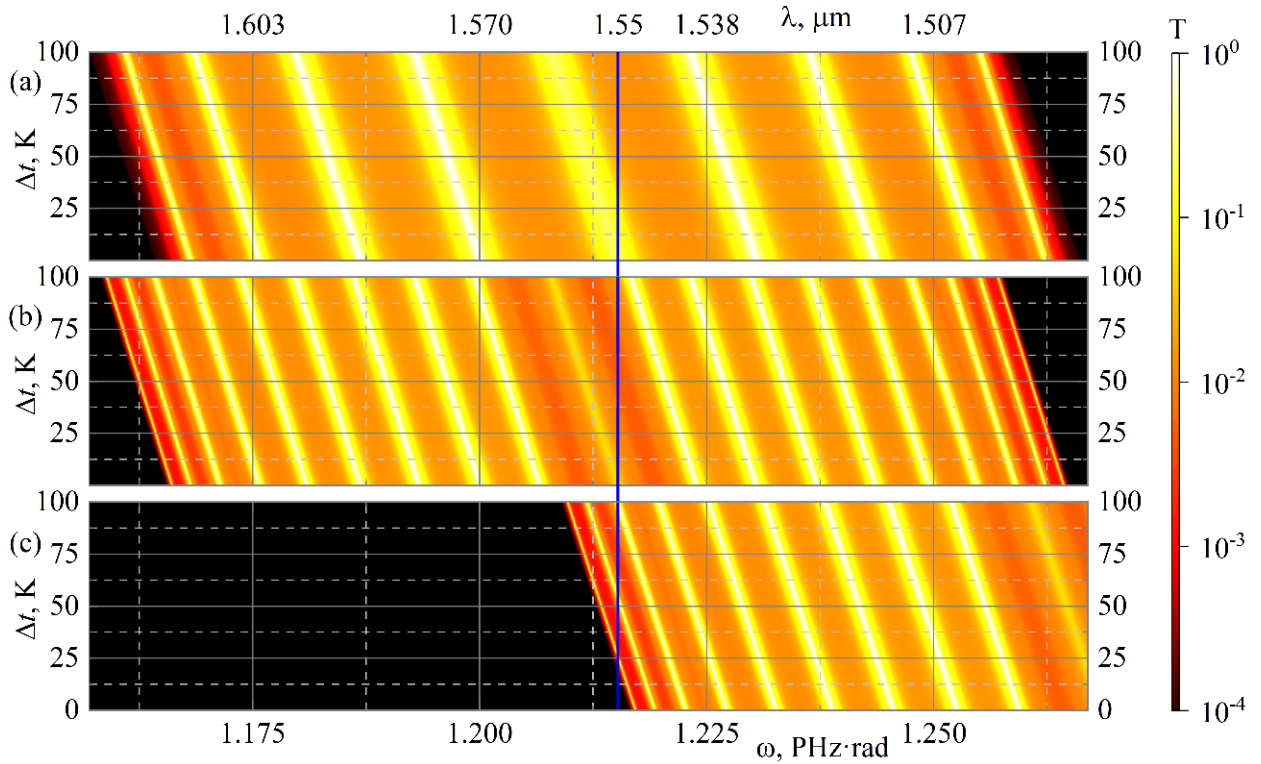


Fig. 4. Transmittivity spectra $T(\omega, \Delta t)$ at normal incidence for the structure: (a) $[(TA)^5(SZ)^5]^5$ with $\lambda_{01} = \lambda_{02} = 1.55 \mu\text{m}$; (b) $[(TA)^5(SZ)^5]^{10}$ with $\lambda_{01} = \lambda_{02} = 1.55 \mu\text{m}$; and (c) $[(TA)^5(SZ)^5]^{10}$ with $\lambda_{01} = \lambda_{02} = 1.485 \mu\text{m}$.

319 If the layer thicknesses of the structure are initially adjusted
 320 to the Bragg wavelengths $\lambda_{01} = \lambda_{02} = 1.55 \mu\text{m}$, the central
 321 transmission peak is observed at this wavelength. As the
 322 temperature rises, a red shift of the transmission spectrum
 323 takes place. Indeed, with an increase of temperature by 100 K,
 324 the central peak shifts to a wavelength of $1.56 \mu\text{m}$, and its
 325 value slightly increases (by approximately 0.02). Thus, the
 326 thermal sensitivity of the spectrum of such a three-periodic PC
 327 is about 0.1 nm/K, which is 1.6 times more than the sensitivity
 328 of the defect mode position to the temperature change reported
 329 for 1-D Si-based PC with a single defect layer [17].

330 With an increase in the number of supercells, the trans-
 331 mission peaks narrow significantly, as shown in Fig. 4(b) for
 332 $K = 10$ without a change in the sensitivity to the temperature.
 333 Moreover, by choosing the values of λ_{01} and λ_{02} , one can
 334 shift the entire spectrum along the wavelength [see Fig. 4(c)
 335 for $\lambda_{01} = \lambda_{02} = 1.485 \mu\text{m}$].

336 Thus, by adjusting the geometrical parameters of the three-
 337 periodic PC, one can optimize it for monitoring the temper-
 338 ature variations by measuring the shift of a narrow subpeak
 339 of the passband. Temperature sensitivity can be defined as
 340 the rate at which a system undergoes a transition from a
 341 state of transmission to a state of nontransmission. The latter
 342 is a matter of convention: for instance, one can associate a
 343 temperature change [1/K] with the transmittivity decay from
 344 $T = 90\%$ to $T = 10\%$ (such transition is widely used, e.g.,
 345 in nonlinear fiber optics to estimate the pulse rise time [40]).
 346 For instance, for the structure shown in Fig. 4(c), at $\lambda =$
 347 $1.55 \mu\text{m}$, the transmittivity changes from 0.9 to 0.1 with a
 348 temperature drop of 0.45 K (from 25.26 to 24.81 K). Thus,

the temperature sensitivity of a potential sensor, based on the
 considered structure, can be as high as 2.22 1/K.

349 As was discussed above, with an increase in the angle of
 350 incidence, the degeneracy of the TE and TM polarizations is
 351 removed, and the spectra of these modes should be studied
 352 separately. Fig. 5 shows the dependences of the transmittivity
 353 of the structure $[(TA)^5(SZ)^5]^5$ on the angle of incidence θ
 354 and temperature variation Δt . At $\Delta t = 0$ and $\theta = 0$, this
 355 figure corresponds to the transmittivity at the point $(\lambda_{01}/\lambda,$
 356 $\lambda_{02}/\lambda) = (1,1)$ on the inset in Fig. 2(i). As can be seen
 357 from Fig. 5, at small incidence angles and relatively small
 358 deviations from room temperature t_0 ($\theta < 7^\circ$, $\Delta t < 15$ K),
 359 the transmittivity is relatively high for both TE- and TM-
 360 modes. At larger θ , however, the difference in the spectra
 361 of the TE- and TM-polarized light becomes significant. For
 362 instance, at room temperature, the structure does not transmit
 363 the TE-polarized light at $\theta > 31^\circ$, which corresponds to the
 364 main bandgap. For TM-polarization state, a similar picture
 365 is observed at the incidence angles interval $34^\circ < \theta < 68^\circ$,
 366 and at $\theta > 68^\circ$, one can see the next group of the high-
 367 transmittivity passbands.

368 As the temperature increases, both the passbands and the
 369 bandgaps shift toward larger angles of incidence. At a fixed
 370 θ , as the deviation from room temperature increases, a transi-
 371 tion from one mini-bandgap region to another can occur. For
 372 pronounced bands, this transition requires less temperature
 373 deviation, as seen in the inset in Fig. 5(a). For example,
 374 for a TE-polarized wave in the vicinity of $\theta \approx 29.4^\circ$, initially,
 375 for $\Delta t \approx 0$, the transmittivity is maximal, $T^{\text{TE}} \sim 1$, then,
 376 as the temperature increases, a mini-bandgap is observed with
 377 the transmission coefficient of about $10^{-3} - 10^{-1}$, and at
 378

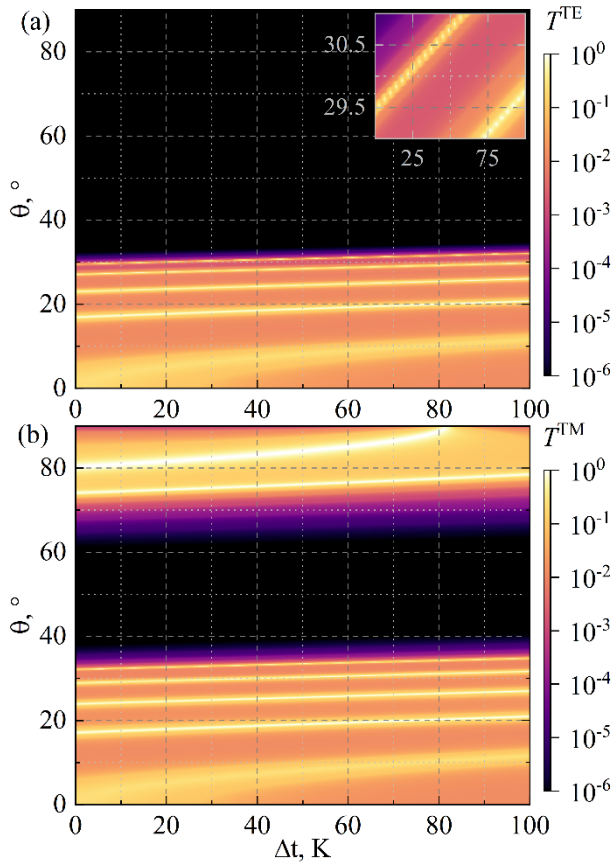


Fig. 5. Evolution of the transmittivity (in logarithmic color scale) of the (a) TE- and (b) TM-modes for the structure $[(TA)^5(SZ)^5]^5$ with the incidence angle θ and temperature variation Δt . Here, $\lambda = \lambda_{01} = \lambda_{02} = 1.55 \mu\text{m}$.

$\Delta t > 80 \text{ K}$, the passband is again observed. The sensitivity of this transmission bandwidth shift is about $\Delta\theta/\Delta t \approx 0.03^\circ/\text{K}$.

The revealed features of the transmittivity spectra make it possible to achieve a double adjustment of the transmission level of the three-periodic structure: 1) roughly—by changing the incidence angle and 2) smoothly—by varying the temperature. This makes it possible to use these results in sensors with several logical levels of transmission and nontransmission.

C. Temperature Tuning of the Energy Characteristics

Peculiarities of the spectra of three-periodic PCs lead to specific behavior of energy characteristics of these structures, and consideration of the sensitivity of these parameters to temperature changes deserves a separate study.

Fig. 6 shows the distributions of the longitudinal component (i.e., along the x -axis) of the Umov–Poynting vector $S_x(\theta, z)$ inside the structure $[(TA)^5(SZ)^5]^5$ for (a) TE- and (b) TM-polarization states of light at room temperature. One can see that $S_x(\theta, z)$ presents beating-like series of maxima at the intervals of the incidence angles corresponding to the high-transmittivity narrow passbands. The intervals of θ where no peaks are observed, correspond to the bandgap of the structure [compare, for instance, Figs. 5(a) and 6(a) at $\Delta t = 0$]. This distribution can be interpreted as a transverse intensity, that is, the intensity of light at the cross section of the PC parallel to

the z -axis. When considering a PC as a resonator in which standing light waves are observed [with field components $E_y(z)$ for TE- or $H_y(z)$ for TM-polarized waves], one can consider the intensity minima and maxima ($S_x \sim |E_y|^2$ and thus $S_x \sim T^{\text{TE}}$, see [25] for details) as nodes and antinodes, respectively.

Inside the PC, the antinodes are grouped into “clusters” (in Fig. 6, these clusters are indicated by gray dotted rectangles). The blurring of the antinodes generally decreases when the angle of incidence approaches the bandgap edges for TE- and TM-modes. For TM modes, the antinodes also exist for large angles of incidence, with the maxima merging at grazing incidence. It is interesting to note that the further θ is from the bandgap edge, the less is the number of peaks inside the “cluster,” whereas the number of the clusters increases.

Fig. 7 shows the evolution of the dependences $S_x(\theta, z)$ for two narrow passbands (near $\theta = 28^\circ$ and $\theta = 30^\circ$ for TE-polarization and $\theta = 30^\circ$ and $\theta = 33^\circ$ for TM-polarization states of light) with an increase of temperature. Blue peaks correspond to room temperature t_0 ($\Delta t = 0$), green peaks correspond to $\Delta t = 25 \text{ K}$, and the red ones to $\Delta t = 50 \text{ K}$. As can be seen, with increasing temperature by 25 K, the distribution $S_x(\theta, z)$ corresponding to individual transmission bands shifts on average by 0.7° toward larger values of the incidence angle for both TE- and TM-polarizations. The shape of S_x (namely, the number of the peaks and their position along the z -coordinate) is preserved. The angular distance (difference in the angles of incidence) between the transmission peaks for the TE- and TM-modes is more than 1.5° , which is five times greater than that in a ternary (three-component single-periodic) PC [36]. These peculiarities allow us to propose a sensitive thermal polarization TE/TM switch that monitors the temperature variation by measuring the change of the angular distance at which a certain distribution of the transverse component of the Umov–Poynting vector of the corresponding bandwidth is observed.

On the other hand, by fixing the incidence angle, for example, at $\theta = 29.4^\circ$, it is possible to observe the TE-mode at $\Delta t = 0 \text{ K}$, whereas at $\Delta t = 25 \text{ K}$, there is no TE-polarized light, but the TM-mode appears, which makes it possible to implement a polarizing TE–TM switch controlled by temperature. Furthermore, for one polarization state, for example, TE, fixing the incidence angle $\theta = 29.4^\circ$ and slightly varying the temperature, one can observe a sharp drop in the intensity $S_x(z)$, which gives a principle of an ultrasensitive thermal sensor. Indeed, an increase in temperature by 1 K leads to a decrease in the peaks of the energy flux by about two times (see Fig. 8), whereas the spatial position of the peaks does not change. Thus, the relative sensitivity of the longitudinal energy flux to the temperature variations can be estimated as $(S_x|_{\Delta t=0} - S_x|_{\Delta t=1 \text{ K}})/(\Delta t S_x|_{\Delta t=0}) = 50\%/K$. Note that the sensitivity of the temperature sensor can be further increased by increasing the number of subcells (M and N) and superperiods (K), which will lead to narrowing of the resonance lines and thus faster decay of the energy flux distribution with small changes of the temperature. The alternation of the transverse intensity bands can also be used in modeling the logic elements of integrated optics, and the

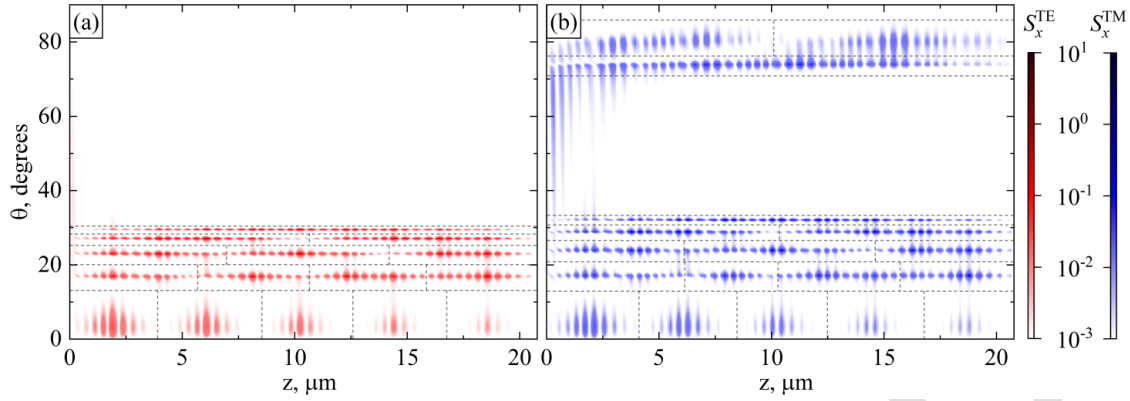


Fig. 6. Longitudinal component of the Umov–Poynting vector $S_x(\theta, z)$ of (a) TE- and (b) TM-polarized light as a function of the incidence angle θ and the z -coordinate inside the structure $[(TA)^5(SZ)^5]^5$ at room temperature t_0 .

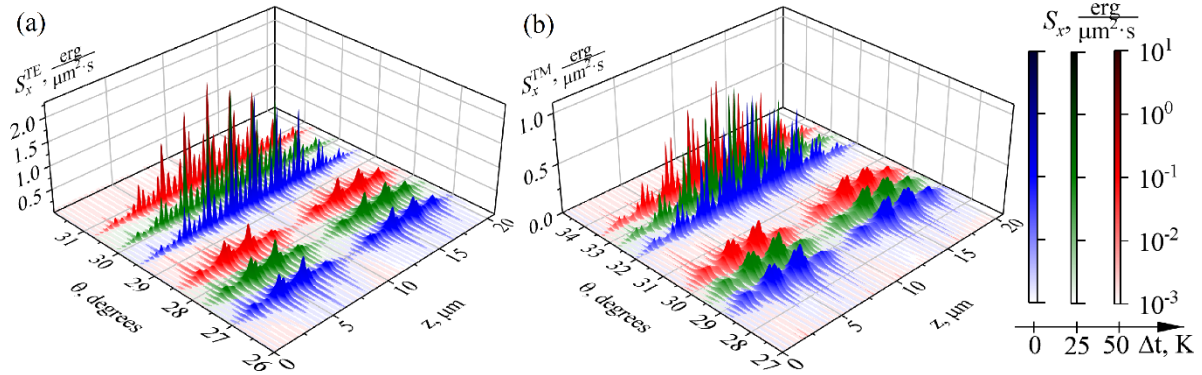


Fig. 7. Longitudinal component of the Umov–Poynting vector $S_x(\theta, z)$ of (a) TE- and (b) TM-polarized light as a function of the incidence angle θ and the z -coordinate inside the structure $[(TA)^5(SZ)^5]^5$ for different values of the temperature deviation $\Delta t = 0, 25, 50$ K (blue, green, and red peaks).

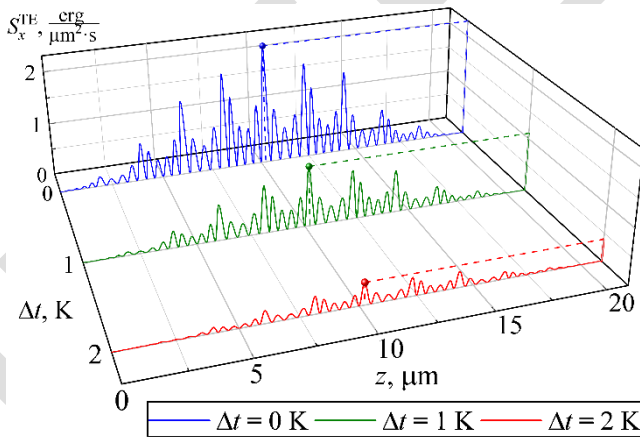


Fig. 8. Longitudinal component of the Umov–Poynting vector $S_x(z)$ of the TE-polarized light as a function of the z -coordinate inside the structure $[(TA)^5(SZ)^5]^5$ for different values of the temperature deviation $\Delta t = 0, 1, 2$ K (blue, green, and red curves, respectively) at the incidence angle $\theta = 29.4^\circ$.

461 ability to work simultaneously in two orthogonal polarizations
 462 makes it possible to double the number of potential logic
 463 levels/number of states.

464 IV. CONCLUSION

465 In this work, we have developed a theoretical analysis of the
 466 optical properties of 1-D four-component three-periodic PCs
 467 $[(ab)^N(cd)^M]^K$ based on TiO_2 , SiO_2 , Al_2O_3 , and ZrO_2 oxides,

468 accounting for the temperature effects on the transmittivity
 469 spectra. The considered structures have an advantage over
 470 ternary PCs $[(ab)^Nc]^M$, where layers c play a role of regularly
 471 repeating defect layers. Four-component three-periodic PCs
 472 can be considered as a composite structure in which one of
 473 the cells plays the role of a “defect” and the other plays the
 474 role of the “regular” PC.

475 We focused on the structures with a medium optical contrast
 476 in the pairs of layers a, b , and c, d of the subcells $(ab)^N$
 477 and $(cd)^M$, in contrast to the high and low optical contrast
 478 studied previously. Considering the thermo-optic effect and
 479 the effect of temperature expansion, we analyzed the influ-
 480 ence of temperature on the transmittivity spectra of TE- and
 481 TM-modes and on the intensity distribution inside the PC.
 482 We demonstrate how the choice of the Bragg wavelengths of
 483 the subcells can adjust the transmittivity spectra of such a
 484 multiperiodic PC. In addition, the difference in the “resonance”
 485 angles of incidence θ corresponding to the transmission peaks
 486 of any neighboring modes of TE and TM polarizations can be
 487 about 1.5° , which is at least five times as large as in a ternary
 488 PC. This gives a competitive advantage in the development of
 489 polarization-sensitive splitters (switcher) or detectors based on
 490 multiperiodic PCs.

491 As the temperature increases, the transmission band shifts
 492 at a rate of $\Delta\theta/\Delta t \approx 0.03^\circ/\text{K}$, which provides a double
 493 adjustment of the transmission capacity of the structure: by
 494 changing the angle of incidence (rough adjustment) and by

changing the temperature (smooth adjustment). The sensitivity of the spectrum of a three-periodic PC to the variation of temperature is about 0.1 nm/K, which is larger than that of a single-periodic PC with a defect layer. Additionally, such multiperiodic PC can be used for monitoring of tiny deviations of temperature by measuring the intensity distribution along the axis of the structure.

All these peculiarities thus can be useful in the fabrication of sensors that assume the presence of several logical levels of transmission and nontransmission. In addition, the presence of several levels of transmission and nontransmission with different values of transmittivity makes it possible to use such a structure as an artificial optical synapse for neuromorphic processors, taking into account temperature fluctuations [41]. Adjustable wavelength tuning accuracy can also be useful when working with medical laser sources in the near- and mid-IR ranges.

In view of the foregoing, the type of structures considered in this article can be used, in particular, as a basis for creating a thermal sensor integrated into other photonic and/or integrated optics devices, or used as a separate multifunctional photonic device that combines the functions of a thermal sensor (0.1 nm/K or as high as 2.22 1/K for $T = 90\%$ to $T = 10\%$ transition), an angle sensor (no worse than $0.03^\circ/\text{K}$), a polarization switch, and a logic element of an integrated photonics circuit.

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